

Audit & Governance Committee Draft Forward Plan to December 2018

Training/briefing events will be held at appropriate points in the year to support members in their role on the Committee.

Item	Lead officers	Other contributing Organisations	Scope
Committee Wednesday 11th April 2018			
Key Corporate Risk Monitor	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs)
Mazars Audit Progress Report	<u>Mazars</u> – Gareth Davies/ Jon Leece		Update report from external auditors detailing progress in delivering their responsibilities as the Council's external auditors
Mazars Audit Strategy Report	<u>Mazars</u> – Gareth Davies/ Jon Leece		Report from the Councils external auditors setting out their audit plan in respect of the Councils Audit for the year ending 2018.
Approval of Internal Audit Plan	<u>Veritau</u> – Max Thomas/ Richard Smith		To seek the committees approval for the planned programme of internal audit, and counter fraud to be undertaken in 2018/19.
Internal Audit & Fraud Plan Progress Report	<u>Veritau</u> – Max Thomas/ Richard Smith		An update on progress made in delivering the internal audit work plan for 2017/18 and on current counter fraud activity
Internal Audit Follow up of Audit Recommendations Report	<u>Veritau</u> – Max Thomas/ Richard Smith		This is the regular six monthly report to the committee setting out progress made by council departments in implementing actions agreed as part of internal audit work
<i>Changes to the Constitution (If any)</i>			
Committee June 2018			
Draft Statement of Accounts including Annual Governance Statement	<u>CYC</u> Debbie Mitchell/ Emma Audrain		To present the draft Statement of Accounts to the Committee prior to the 2017/18 Audit including the Annual Governance Statement
Mazars Audit Progress Report	<u>Mazars</u> – Gareth Davies/ Jon Leece		Update report from external auditors detailing progress in delivering their responsibilities as the Council's external auditors

Key Corporate Risk Monitor	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs)
Treasury Management Outturn Report	<u>CYC</u> Debbie Mitchell		To provide Members with an update on the Treasury Management Outturn position for 2017/18.
Annual Report of the Head of Internal Audit	<u>Veritau</u> – Max Thomas/ Richard Smith		This report will summarise the outcome of audit and counter fraud work undertaken in 2017/18 and provide an opinion on the overall adequacy and effectiveness of the council's framework of governance, risk management and internal control
Annual Report of the Audit & Governance Committee	<u>CYC</u> Emma Audrain		To seek Members' views on the draft annual report of the Audit and Governance Committee for the year ended 5th April 2018, prior to its submission to Full Council.

Changes to the Constitution (If any)

Committee July 2018

Mazars Audit Completion Report	<u>Mazars</u> – Gareth Davies/ Jon Leece		Report from the Councils external auditors setting out the findings of the 2017/18 Audit.
Final Statement of Accounts 2017/18	<u>CYC</u> Debbie Mitchell/ Emma Audrain		To present the final audited Statement of Accounts following the 2017/18 Audit.
Key Corporate Risks Monitor	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs)
Information Governance & Freedom of Information Report (including information security)	<u>CYC</u> Lorraine Lunt		To provide Members with an update on current information governance issues.

Changes to the Constitution (If any)

Committee September 2018

Mazars Audit Completion Report	<u>Mazars</u> – Gareth Davies/ Jon Leece		Report from the Councils external auditors setting out the findings of the 2017/18 Audit.
Internal Audit Follow up of Audit Recommendations Report	<u>Veritau</u> – Max Thomas/ Richard Smith		This is the regular six monthly report to the committee setting out progress made by council departments in implementing actions agreed as part of internal audit work

Internal Audit & Fraud Plan Progress Report	<u>Veritau – Max Thomas/ Richard Smith</u>		An update on progress made in delivering the internal audit work plan for 2017/18 and on current counter fraud activity
Key Corporate Risks Monitor	<u>CYC Sarah Kirby</u>		Update on Key Corporate Risks (KCRs)
<i>Changes to the Constitution (If any)</i>			
Committee December 2018			
Treasury Management Mid Year Review 18/19 and review of prudential indicators	<u>CYC Debbie Mitchell</u>		To provide an update on treasury management activity for the first six months of 2018/19
Final Mazars Annual Audit letter	<u>Mazars – Gareth Davies/ Jon Leece</u>		To present a report summarising the outcome of the 2017/18 audit and work on the value for money conclusion.
Internal Audit & Fraud progress report	<u>Veritau – Max Thomas/ Richard Smith</u>		An update on progress made in delivering the internal audit work plan for 2018/19 and on current counter fraud activity
Review of the effectiveness of the Audit & Governance Committee	<u>Veritau – Max Thomas/ Richard Smith</u>		
Key Corporate Risks Monitor	<u>CYC Sarah Kirby</u>		Update on Key Corporate Risks (KCRs)
<i>Changes to the Constitution (If any)</i>			
Other Items to be brought to the Committee - date			
<i>Review of February 2017 Committee (LGA Review) – To be determined</i>			